



SRL:SEC:SE:2020-21/52

17 October, 2020

The Manager Listing Department, National Stock Exchange of India Limited **(Symbol: SPENCERS)** Exchange Plaza, 5<sup>th</sup> Floor Plot No. C/1, G-Block Bandra-Kurla Complex Bandra (East), <u>Mumbai – 400 051</u> The General Manager Department of Corporate Service, BSE Limited (Scrip Code: 542337) Phiroze Jeejeebhoy Tower Dalal Street <u>Mumbai – 400 001</u>

The Secretary The Calcutta Stock Exchange Limited **(Scrip Code: 30028)** 7, Lyons Range <u>Kolkata – 700 001</u>

#### Sub: Statement of Investor Complaints for the Quarter ended 30 September, 2020

Dear Sir,

Please find enclosed a statement on investor complaints as required to be provided under Regulation 13(3) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation 2015 for the quarter ended 30 September, 2020.

Thanking you,

Yours faithfully, For Spencer's Retail Limited

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Rama Kant (Company Secretary & Compliance Officer) FCS 4818



Spencer's Retail Limited

(Formerly Known as RP-SG Retail Limited) Regd. Office: Duncan House, 31, Netaji Subhas Road, Kolkata-700 001 Corp. Office: RPSG House, 2/4 Judges Court Road, Kolkata-700 027 Tel: +91 33 2487 1091 Web: www.spencersretail.com CIN: L74999WB2017PLC219355

# Spencer's Retail Limited (Formerly known as RP-SG Retail Limited)

# **Statement of Investor Complaints**

1. Name of Listed Entity : Spencer's Retail Limited

2. Quarter ended : 30 September, 2020

## <u>Part A</u>

Sr. No.	Particulars	Number
1	Number of complaints pending at the beginning of the quarter	0
2	Number of complaints received directly including scores	0
3	Number of complaints forwarded by Investors	0
4	Number of complaints received directly from Stock exchange	1
5	Number of complaints received directly from SEBI	8
6	Number of complaints received directly from Depository Participant	0
7	Total Number of complaints/comments received (1+2+3+4+5+6)	9
8	Number of complaints redressed/resolved	9
9	Number of complaints pending	0

### Part B

Sr.No.	Name of Complainant	Date of Complaint	Status (Resolved/pending)
1	Rajeev Agarwal	31/08/2020	Resolved
2	Ratan Nagori	31/08/2020	Resolved
3	Johnson Varughese	31/08/2020	Resolved
4	Krishna Murari Prajapati	6/9/2020	Resolved
5	Krishna Murari Prajapati	6/9/2020	Resolved
6	Pallavi Anil Maniyar	17/09/2020	Resolved
7	Krishna Murari Prajapati	17/09/2020	Resolved
8	Arvind Sharma	18/09/2020	Resolved
9	Anjila	25/09/2020	Resolved

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17/10/2020

**Company Secretary** 

LINK Intime

Link Intime India Pvt. Ltd. CIN : U67190MH1999PTC118368 C-101, 247 Park, L. B. S. Marg, Vikhroli (West), Mumbai - 400 083. Tel. : +91 22 4918 6000 Fax : +91 22 4918 6060 E-mail : mumbai@linkintime.co.in Website : www.linkintime.co.in

#### SPENCERS RETAIL LIMITED

	Investor Grievance for the quarter ended 30.09.2020					
Date of Complaint	Complaint received from	Complaint received by	Name of Complainant	Nature		
06-09-2020	SEBI	Link Intime India ltd	Krishna Murari Prajapati	Regarding Unutilized RE's		
06-09-2020	SEBI	Link Intime India Itd	Krishna Murari Prajapati	Regarding Unutilized RE's		
31-0 <mark>8</mark> -2020	SEBI	Link Intime India Itd	Rajeev Agarwal	Nón receipt of entitlement		
31-08-2020	SEBI	Link Intime India Itd	Ratan nagori	Non receipt of entitlement		
31-08-2020	SEBI	Link Intime India Itd	Johnson Varughese	Non allotment o shares		
17-09-2020	SEBI	Link Intime India Itd	Pallavi Anil Maniyar	Regarding unutilized RE's		
17-09-2020	SEBI	Link Intime India Itd	Krishna Murari Prajapati	Regarding unutilized RE's		
25-09-2020	SEBI	Link Intime India Itd	Anjila	Regarding Unutilized RE's		
18-09-2020	BSE	Link Intime India Itd	Arvind Sharma	Regarding Unutilized RE's		





#### 15-10-2020

#### SPENCERS RETAIL LIMITED

Status of investor complaints received pertaining to Rights Issue during the period 01/07/2020 to 30/09/2020

Particulars	Numbers	
<ol> <li>No. of Complaint pending at the beginning of the quarter i.e. July1, 2020</li> </ol>	0	
2. No of Complaint received directly from BSE	1	
3. No of Complaint received directly from SEBI	8	
Total number of Complaints/Comments received	9	
Number of Complaints redressed / resolved	9	
Number of Complaint pending	0	

For Link Intime India Pvt Ltd.

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Sujata Poojary Manager – Corporate Registry

